

Please type a plus sign (+) inside this box ☐

12-03-01

5585-4;JVM.149507

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	5585-4
First Inventor	Ronny W. Holliday
Title	COMMUNICATION DISTRIBUTION SYSTEM WITH COAXIAL CABLE DISTRIBUTION HUB
Express Mail Label No.	EL 210 001 101 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification [Total Pages **18**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix or computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
☐ CD-ROM or CD-R (2 copies); or
☐ paper
- c. ☐ Statements verifying identity of above copies

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
☐ Informal ☒ Formal

5. ☐ Oath or Declaration [Total Pages **21**]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Citation (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other: _____

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner: _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	John V. Moriarty Woodard, Emhardt, Naughton, Moriarty and McNett				
Address	Bank One Center/Tower 111 Monument Circle, Suite 3700				
City	Indianapolis	State	IN	Zip Code	46204-5137
Country	USA	Telephone	(317) 634-3456	Fax	(317) 637-7561
Name (Print/Type)	John V. Moriarty			Registration No. (Attorney/Agent)	23,207
Signature				Date	November 20, 2001

Express Mail Label Number EL 210 001 101 US **Date of Deposit** November 20, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

Signature of person mailing paper or fee

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
FOR FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Ronny W. Holliday
Group Art Unit	
Examiner Name	
Attorney Docket Number	5585-4

Total Amount of Payment (\$410.00)

METHOD OF PAYMENT1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **23-3030**

Deposit Account Name: **Woodard, Emhardt, Naughton, Moriarty & McNett**

☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	370
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
SUBTOTAL (1)					(\$370)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	Fee Paid
16	-20** = 0	X	
Independent Claims	3	-3** = 0	X
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)-0-

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other Fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

SUBMITTED BY:

Name (Print/Type)

John V. Moriarty

Registration No
(Attorney/Agent)

26,207

Complete (if applicable)

Telephone

(317) 634-3456

Signature

Date

November 20, 2001

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
SEND TO: Assistant Commissioner for Patents, Washington, DC 202031

WOODARD, EMHARDT, NAUGHTON, MORIARTY & MCNETT

HAROLD R. WOODARD
C. DAVID EMHARDT
JOSEPH A. NAUGHTON
JACK V. MORIARTY
JOHN C. MCNETT
THOMAS Q. HENRY
JAMES M. DURLACHER
CHARLES R. REEVES
VINCENT O. WAGNER
STEVE ZLATOS
SPIRO BEREVESKOS
WILLIAM F. BAHRET
CLIFFORD W. BROWNING

R RANDALL FRISK
DANIEL J. LUEDERS
KENNETH A. GANDY
TIMOTHY N. THOMAS
KERRY P. SISSELMAN
KURT N. JONES
JOHN H. ALLIE
HOLIDAY W. BANTA
TROY J. COLE
L. SCOTT PAYNTER
J. ANDREW LOWES
CHARLES J. MEYER
MATTHEW R. SCHANTZ

PATENT AND TRADEMARK ATTORNEYS
BANK ONE CENTER/TOWER
111 MONUMENT CIRCLE, SUITE 3700
INDIANAPOLIS, INDIANA 46204-5137
(317) 634-3456
FAX (317) 637-7561

GREGORY B. COY
LISA A. HIDAY
JOHN V. DANILUCK
CHRISTOPHER A. BROWN
C. JOHN BRANNON
JASON J. SCHWARTZ
ARTHUR J. USHER IV
DOUGLAS A. COLLIER
BRAD A. SCHEPERS
JAMES B. MYERS JR.
JOHN M. BRADSHAW
C. AMY NG SMITH
QUENTIN G. CANTRELL

CHARLES P. SCHMAL
DAVID E. NOVAK
JAMES L. ROWE
SCOTT J. STEVENS
EDWARD E. SOWERS
OF COUNSEL

CHARLES P. JACOBS
(1837-1892)
VIRGIL H. LOCKWOOD
(1860-1932)
RALPH G. LOCKWOOD
(1890-1957)

November 21, 2001

BOX FILING DATE

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: PTO EXPRESS MAIL SERVICE
Our Ref.: 5585-4

Dear Sirs:

I certify that I originally attempted on November 20, 2001 to deposit this correspondence with the United States Postal Service (USPS) for delivery by Express Mail, but the USPS refused to accept this correspondence on the basis that Express Mail service to the United States Patent and Trademark Office (USPTO) is currently suspended. This correspondence would have been transmitted by Express Mail on November 20, 2001 if it were not for the interruption in Express Mail service. Pursuant to 37 C.F.R. 1.6(e), I hereby request treatment of this correspondence as having been filed with the USPTO on November 20, 2001.

*Receipt date granted
is 11/20/01.
G. Chang
from OPLA*

Respectfully submitted

By: *John V. Moriarty*

John V. Moriarty
Reg. No. 26,207
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 21, 2001.

John V. Moriarty
Name of Registered Representative

John V. Moriarty
Signature

21 NOV 2001
Date of Signature